

1 Review Article

2 Insights into the HIV latency and the role of 3 cytokines

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14 **Abstract:** Human immunodeficiency virus-1 (HIV-1) has the ability to infect latently at the level of
15 individual CD4+ cells. Latent HIV-1 proviruses are transcriptionally silent and immunologically
16 inert, but still capable of reactivating productive lytic infection following cellular activation. These
17 latent viruses are the main obstacle in the eradication of HIV-1, because current HIV-1 treatment
18 regimens are ineffective against them. Normal immunological response against an antigen activates
19 CD4+ naïve T cells. The activated CD4+ naïve T cells undergo cell cycle, resulting in further
20 transformation and profound proliferation to form effector CD4+T-cells. Notably, in HIV-1 infected
21 individuals, some of the effector CD4+ T cells get infected with HIV-1. Upon fulfillment of their
22 effector functions, almost all activated CD4+ T cells are committed to apoptosis or programmed cell
23 death, but a miniscule fraction revert to quiescence and become resting memory CD4+ T cells to
24 mediate a rapid immunological response against the same antigen in the future. However, due to
25 the quiescent nature of the resting memory T cells, the integrated HIV-1 becomes transcriptionally
26 silent and acquires a latent phenotype. Following re-exposure to the same antigen, memory cells
27 and integrated HIV-1 are stimulated. The reactivated latent HIV provirus subsequently proceeds
28 through its life cycle and eventually leads to the production of new viral progeny. Recently, many
29 strategies against HIV-1 latency have been developed and some of them have even matured to the
30 clinical level, but none can yet effectively eliminate the latent HIV reservoir, which remains a barrier
31 to HIV-1 cure. Therefore, alternative strategies to eradicate latent HIV need to be considered. This
32 review provides vital knowledge on HIV latency and on strategies to supplement highly active anti-
33 retroviral therapy (HAART) with cytokine-mediated therapeutics for dislodging the latent HIV
34 reservoirs so as to open up new avenues for curing HIV.

35 **Keywords:** HIV-1, latency, eradication, transforming growth factor-beta (TGF- β), resting memory
36 CD4+ T-cells.
37

38 1. Introduction

39 Human immunodeficiency virus type-1 (HIV-1) infects human CD4+ T cells [1, 2] and
40 macrophages [3] via mucosal or blood contacts. The virus is then carried into the lymph nodes where
41 it subsequently spreads into other lymphoid organs followed by enhanced virus replication and
42 systemic infection [4]. After three to six weeks of primary infection, there is an onset of acute phase
43 which is characterized by mononucleosis-like syndromes; fever, sores in mouth, pharyngitis, rash,
44 myalgia, malaise, lymphadenopathy, headache, nausea and vomiting, lethargy, ulcers on the
45 genitals, enlarged liver, weight loss, night sweats and diarrhea and other neurological symptoms

46 with a sharp increase in viremia in peripheral circulation [1]. The increase in viremia during the acute
47 phase is also marked by a concomitant decline in the CD4+ T-cell population attributable to direct
48 virus-mediated cytotoxicity or infection-induced cytotoxic T-cells (CTL)-mediated killing of virus
49 infected cells [1, 2]. Usually, the viremia peak resolves following HIV-1-specific immune responses,
50 but this immunological response to infection is insufficient to completely suppress HIV-1 replication
51 [1, 2].

52 The acute phase of HIV-1 infection is followed by a chronic asymptomatic phase, referred
53 to as “clinical latency”, which lasts for several years [2]. During clinical latency, HIV-1 replication
54 kinetics are highly dynamic and characterized by gradual depletion of peripheral blood CD4+ T-cells
55 [3]. In this stage of HIV infection, the virus continues to replicate at very low levels. Pantaleo et.al
56 demonstrated that even though the viremia is low or undetectable in peripheral circulation, virus
57 replication is enhanced in lymphoid organs, perhaps due to a spectrum of mechanisms such as viral
58 accumulation, cellular activation, rapid viral turnover etc. [4-6]. In addition to direct virus-mediated
59 cytotoxicity, infection-induced CTL-mediated killing of HIV-1 infected cells is a potential mechanism
60 for T-cell depletion [1, 2, 7]. It is also believed that HIV-1 infection induces autoimmune phenomenon
61 throughout the course of infection, which causes hyper-activation of cellular immune response
62 resulting in non-specific killing of immune cells [2].

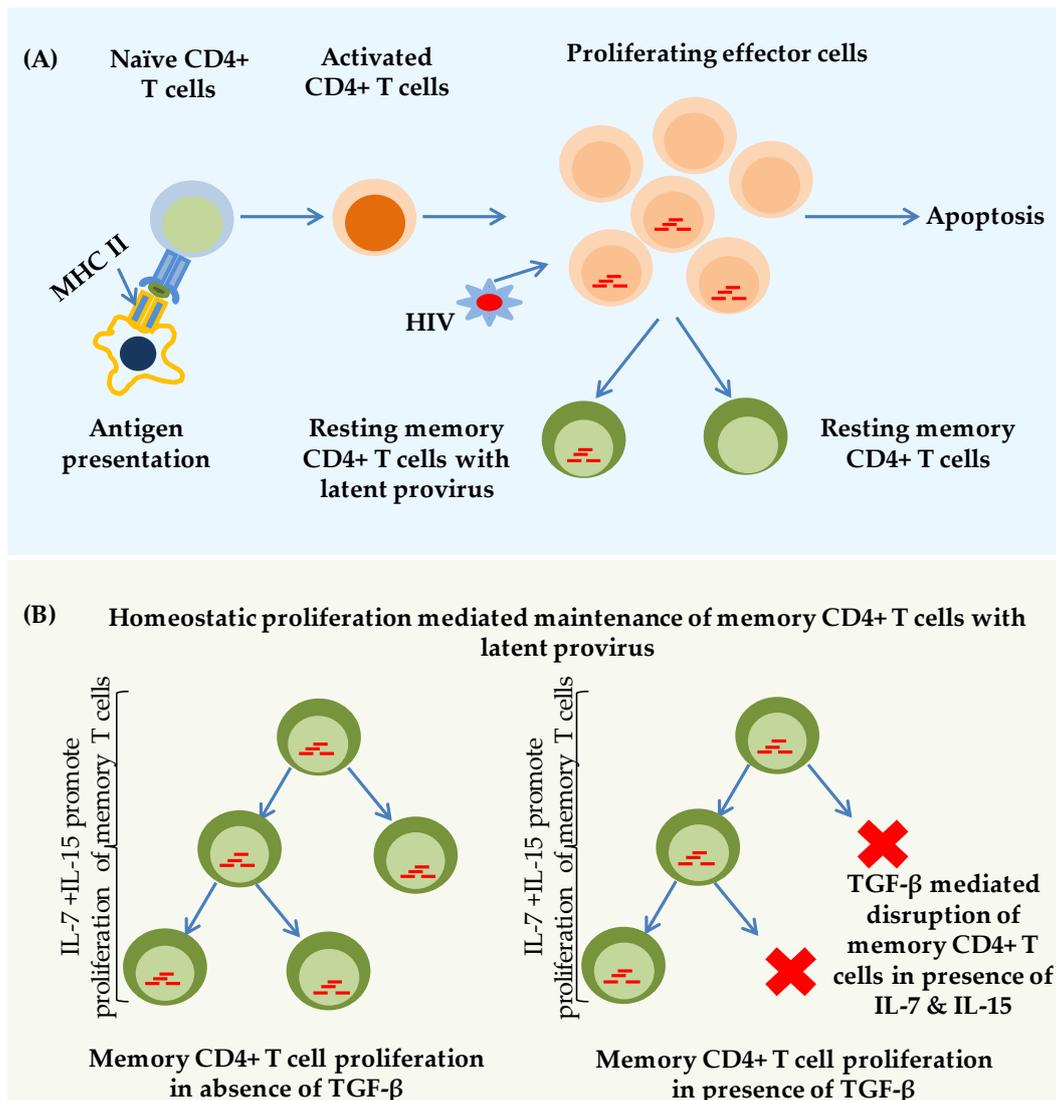
63 Progressive decline in host immunity during a prolonged period of the clinical latency
64 phase results in the inability of the host immune system to respond to other invading pathogens and
65 is referred to as acquired immunodeficiency syndrome (AIDS). This phase is marked by depletion of
66 CD4+ T-cells, which is inversely proportional to virus load in peripheral circulation and lymphoid
67 organs [7]. The inability of the host to activate an immunological response during the AIDS phase
68 leads to an onset of a broad range of opportunistic infections associated with HIV-1 infection referred
69 to as AIDS-defining illnesses [1, 2, 7]. Common co-infections, which induce AIDS-defining illnesses
70 in HIV patients, include herpes simplex virus type-1 (HSV-1), salmonella, candidiasis, and
71 toxoplasmosis. Under the normal immune system, these co-infections remain latent. However, due
72 to deterioration of the immune system, these pathogens become reactivated and extremely difficult
73 to treat and clear. Moreover, opportunistic infections, such as Kaposi’s sarcoma (KS)-associated
74 herpesvirus (KSHV), hepatitis, M. tuberculosis, and P. carinii exhibit multiple strains, which further
75 complicate infection dynamics and treatment outcomes. Earlier due to the lack of quick, effective,
76 reliable and affordable diagnostic tools results in delayed detection and treatment initiation.
77 However, following the introduction of highly active antiretroviral therapy (HAART), AIDS-defining
78 illnesses have reduced to negligible. Although HAART has significantly increase the lifespan of
79 infected individuals, yet HAART is unable to eliminate HIV-1.

80 Toxicities arising from HAART, as well as from the chemotherapy, used in the management of
81 malignancies, such as HIV-associated KS, further enhances the hardship of HIV patients.
82 Additionally, the replication of the HIV-1 in immune-privileged sites with limited access to
83 therapeutic drugs, and the ability of the virus to establish latent infection are the two main factors
84 that hinder the eradication of HIV. The lack of suitable animal model to study and evaluate novel
85 therapeutic approaches, further hamper the discovery of novel therapeutic avenues. This review
86 discusses in-depth details on latently infected HIV and possible strategies for supplementing anti-
87 HIV therapy to dislodge HIV-1 reservoirs.

88 2. Establishment of HIV-1 latency in resting memory CD4+ T-cells

89 Upon pathogenic invasion, the quiescent naïve CD4+ T cells get activated after encountering the
90 antigens presented by antigen presenting cells (APCs). Following antigenic-stimulation, naïve CD4+
91 T cells become metabolically active, undergo rapid multiplication and transform into effector CD4+
92 T-cells. After clearing off the antigen from the system, most of these cells are destined to undergo
93 apoptosis. However, a tiny fraction of these cells become resting memory CD4+ T-cells, which persist
94 for a very long time, even for life. These memory cells are highly antigen-specific and vital to
95 preserving the immunologic memory of the immune system, which permits the mounting of a quick
96 immune response following a subsequent encounter with the same antigen [8].

97 Unlike naïve CD4⁺ T-cells, which are quiescent in nature, are unable to support productive
 98 infection, as for efficient HIV-1 infection, metabolically active CD4⁺ T cells are required [9]. Following
 99 infection, a large number of infecting virions undergo functional decay, especially before or during
 100 the reverse transcription process [10]. HIV-1-mediated cytotoxicity further shortens the life span of
 101 effector CD4⁺ T-cells and thus, reduces the efficacy of the immune system in HIV-1 infected
 102 individuals. On the contrary, in memory T-cells, due to their quiescent nature, HIV-1 is unable to
 103 proceed through its life cycle and thus, it does not induce toxicity in memory T-cells. However, the
 104 long life span of the memory CD4⁺ T-cells, a necessity to maintain immunologic memory, allows the
 105 perpetual presence of transcriptionally-silent, latent HIV-1 proviruses in memory CD4⁺ T-cells [9, 11]
 106 (Figure 1A).



107

108 **Figure.1:** Mechanism of antigen presentation and the generation of latently-infected memory CD4⁺
 109 T-cells. (A) Naïve CD4⁺ T-cells encounter antigen, become activated, and undergo enormous
 110 proliferation and differentiation to become effector CD4⁺ T-cells. Upon clearance of the antigen,
 111 many of these effector T-cells die, but a small fraction of the activated effector T-cells survive
 112 and revert back into quiescence. HIV-1 preferentially infects activated T-cells, and HIV latency
 113 is established when activated T-cells become infected and revert back to become memory T cell.
 114 Due to their quiescent nature, these cells are resistant to HIV superinfections, but are capable
 115 of reactivating productive infection following cellular activation. (B) Mechanism for the
 116 maintenance of HIV-1 latency in resting memory CD4⁺ T-cells under antiretroviral therapy (ART)
 117 and the potential effects of TGF-β on resting memory CD4⁺ T-cell proliferation. In the absence
 118 of TGF-β, latently-infected memory CD4⁺ T-cells are able to survive and proliferate periodically
 to replenish the latent HIV-1

119 provirus pools in presence of IL-7 & IL-15, Left panel. However, higher levels of TGF- β are able to
120 disrupt the homeostatic proliferation of latently-infected resting memory CD4+ T-cells and restrict
121 their number, Right panel.

122 Besides being metabolically inactive, several other factors impede infection of naïve or memory
123 CD4+ T-cells by HIV-1. For instance, the surface of naïve CD4+ T-cells express very low levels of
124 CCR5, an HIV-1 co-receptor, which results in the restricted infection by R5-trophic viruses. R5-trophic
125 viruses constitute the vast majority of transmitting viruses during acute phase of primary HIV-1
126 infection. Furthermore, the presence of low molecular weight Apolipoprotein B mRNA editing
127 enzyme 3G (APOBEC3G) in naïve CD4+ T-cells has been demonstrated to inhibit reverse
128 transcription and HIV-1 infection of primary T-cells, coupled with limited availability of nucleotides
129 in quiescent CD4+ T-cells [12-14].

130 Due to the quiescent nature, HIV-1 infection of naïve CD4+ T-cells is highly inefficient, and rarely
131 proceeds to proviral integration into the host cell genome [15]. Persistence of HIV-1 DNA within
132 activated CD4+ T-cells occurs in two forms, namely, a highly labile unintegrated form referred to as
133 pre-integration latency, which decays within three months following initiation of HAART [16]. The
134 second form, referred to as post-integration latency, is mediated by the presence of an extremely
135 stable integrated HIV-1 DNA within the host chromosome, which persists forever despite prolonged
136 and intensive HAART [17]. Post-integration latency represents a stable source of viral reservoirs in
137 resting memory CD4+ T-cell population, which reactivates productive systemic infection following
138 cessation or disruption of HAART [17]. Besides those factors that restrict efficient HIV transcription,
139 detailed below, numerous other intrinsic factors of memory CD4+ T-cells play role in restricting the
140 reactivation of HIV-1, especially blockers of cellular innate immune responses [18, 19].

141 Extensive studies, performed to analyze and characterize the behavior of latent HIV-1
142 proviruses in resting memory CD4+ T-cells isolated from peripheral circulation of patients on
143 prolonged HAART, demonstrated that a very small fraction (100 per 10⁶ or 0.01%) of resting memory
144 CD4+ T-cells actually harbor latent HIV-1 proviruses [18, 19]. However, only 1 in 10⁶ of these latently-
145 infected resting memory CD4+ T-cells are capable of reactivating productive HIV-1 infection upon
146 stimulation [16, 21].

147 3. Factors mediating HIV-1 latency in memory CD4+ T-cells

148 Unlike HIV-1 infection of activated CD4+ T-cells, which is characterized by high levels of virus
149 replication, resting memory CD4+ T-cells support only restricted transcription of latent provirus [21,
150 22]. Analysis of HIV-1 transcription patterns in latently-infected resting memory CD4+T-cells has
151 revealed the basal expression of short viral mRNA transcripts, with extremely low expression of
152 complete genomic HIV-1 mRNA transcripts, which are necessary to generate new viral particles [16,
153 21, 22]. Cellular factors regulate HIV-1 latency both by controlling HIV transcription and the
154 metabolic state of the HIV-1-harboring cell. Thus, latency is the result of multiple factors acting in
155 concert, including (a) absence of nuclear forms of cellular transcription activation factors and sub-
156 threshold levels of viral Tat protein; (b) epigenetic silencing of the HIV-1 long terminal repeats (LTR);
157 (c) transcription interference; (d) microRNA-mediated degradation of viral mRNA and/or impaired
158 HIV-1 gene expression; and (e) physiological maintenance of the quiescent memory CD4+ T-cell.

159 3.1. Absence of crucial transcription factors from the nucleus, and sub-threshold level of Tat proteins

160 Due to the intricate interdependence of viral LTR activation to cellular transcription factors and
161 viral Tat, HIV-1 replicates potently in activated CD4+ T-cells unlike in latently infected memory CD4+
162 T-cells. Transcription factors that are critical for HIV transcription, such as nuclear factor kappa beta
163 (NF- κ B) and nuclear factor of activated T-cells (NFAT), are sequestered in the cytoplasm, and they
164 only translocate to the nucleus following T-cell stimulation. Various T-cell stimuli, such as T-cell
165 receptor (TCR) activation [23-25], phorbol esters induction[23, 25- 27] or cytokine stimulation[15, 28],
166 all promote nuclear translocation of NF- κ B and NFAT and augment HIV transcription.

167 Due to restricted HIV-1 transcription, latently-infected memory CD4⁺ T-cells contain sub-
168 threshold levels of viral Tat protein. However, T-cell activation increases the nuclear-translocation of
169 NF- κ B, which augments HIV-1 transcription, and consequently the production of viral proteins,
170 including Tat. Once accumulated beyond the functional-threshold, Tat exponentially enhances HIV-
171 1 transcription, after binding to the Trans activation response (TAR) element in nascent mRNA. The
172 TAR is an RNA stem-loop structure present at the 5' end of all viral transcripts. Tat brings Positive
173 Transcription Elongation Factor-b (P-TEFb), a cellular transcription elongation factor, to TAR [29].
174 The cyclin dependent kinase-9 (CDK9) subunit of P-TEFb catalyzes the phosphorylation of several
175 proteins at gene promoters, including phosphorylation of the c-terminal domain (CTD) of the largest
176 subunit of RNA polymerase II (RNAP II), resulting in enhanced HIV-1 transcriptional elongation [13]
177 [29]. Thus, the binding of the Tat-P-TEFb complex to TAR increases HIV-1 transcriptional elongation
178 efficiency by more than 100-fold, resulting in the generation of a large number of unspliced complete
179 HIV-1 genomic transcripts [30-32]. We developed and used a novel model system to study HIV-1
180 latency, namely 2D10 cells, where HIV-1 Tat is expressed in cis and d2EGFP, a short half-life Green
181 Fluorescent Protein (GFP), and replaces Nef to allow the shutdown kinetics of HIV-1 during entry to
182 latency and reactivation from latency. Using this system[28], we observed that, indeed, reactivation
183 of latent HIV-1 greatly depends on nuclear levels of NF- κ B and viral Tat protein. Moreover, using a
184 primary T cell based model for HIV latency, we have shown the restricted levels of P-TEFb in latently
185 infected primary CD4⁺ T cells, and consequently, an additional block to HIV-1 transcriptional
186 elongation during HIV latency in primary T cells [33].

187 3.2. Epigenetic silencing of the HIV-1 LTR

188 Multiple cellular factors have been characterized to mediate HIV-1 latency. Initial reports
189 indicated that cellular factors, such as yin yang-1 (YY1) and Late SV40 factors (LSF), cooperatively
190 bind to the repressor complex sequence (RCS) within the HIV-1 LTR to mediate HIV promoter
191 silencing through recruitment of histone deacetylase (HDAC) [34, 35] . Williams *et al.* [36]
192 subsequently reported that homodimers of NF- κ p50 binds to the HIV LTR to mediate HIV latency
193 through recruitment of HDAC. Tyagi and Karn [37] showed that c-promoter binding factor-1 (CBF-
194 1) mediates HIV-1 latency through recruitment of HDAC to the HIV-1 LTR in HeLa and T-cells. In
195 another set of experiments, Tyagi *et al.* [33] demonstrated that latent proviruses in primary CD4⁺ T-
196 cells are enriched in heterochromatic markers, including HDACs and methylated histones. In
197 microglial cells, which are the main target cells for HIV-1 infection in the central nervous system,
198 Marban *et al.* [38], demonstrated that LTR silencing is mediated through recruitment of HDAC1 and
199 HDAC2 by co-repressor factor COUP-TF interacting protein-2 (CTIP2). Their group and others [39]
200 demonstrated that histone methyltransferase SUV39H1 associated with CTIP2, and methylates
201 histone H3 lysine 9 to promote HIV latency through formation of heterochromatic structure within
202 the HIV-1 LTR. Similarly, Friedman *et al.* [40] demonstrated that HIV LTR silencing through
203 epigenetic mechanism was mediated through methylation of histones at the viral LTR by the histone
204 lysine methyltransferase (HKMT) enhancer of Zeste-2 (EZH2). Pearson *et al.* further validated earlier
205 findings and showed that HIV-1 latency entry is a step-wise phenomenon, where generation of
206 transcriptionally-inhibitory chromatin structure is due to cumulative accumulation of numerous
207 repressive epigenetic modifications at the viral LTR, plays a major role in inhibiting HIV-1
208 transcription during latency [28]. Numerous studies have shown that cellular factors play a key role
209 in the establishment of the heterochromatin structures at LTR by recruiting different epigenetic
210 enzymes, such as HDACs and HKMTs.

211 3.3 Transcription interference (TI)

212 It was previously thought that HIV-1 latency in memory CD4⁺ T-cells was primarily due to the
213 integration of HIV provirus within heterochromatin area of the host cell genome[41]. However,
214 several reports have since demonstrated that the vast majority of latent HIV-1 proviruses
215 preferentially integrate within actively transcribed cellular genes [20]. Transcriptional interference by
216 the neighboring cellular promoters to the gene expression from integrated HIV provirus have also
217 been shown to hinder HIV transcription and promote of HIV-1 latency. Upstream cellular promotor

218 impede the HIV transcription by disrupting the formation or assembly of transcription complexes at
219 the downstream HIV-1 LTR promoter finally resulting in the interruption of proviral transcription
220 and HIV-1 replication [42]. Transcriptional interference has also been shown to occur by the
221 neighboring cellular promoter, which is oriented opposite to HIV LTR promoter in the genome [43].

222 3.4. MicroRNA-mediated degradation of viral mRNA and/or impaired HIV-1 gene expression

223 Several reports have demonstrated that cellular microRNAs are differentially unregulated in
224 resting memory CD4+ T-cells and mediate HIV transcriptional silencing and consequently, latency
225 [44, 45]. Usually, microRNAs (miRNA) impede translation after binding to the 3' end of the mRNA,
226 however, in certain instances, miRNA also results in mRNA degradation. The role of numerous
227 miRNA in restricting HIV gene expression and mRNA degradation has been documented. In
228 addition, different components of the cellular machinery that mediate miRNA-induced gene
229 silencing have also been implicated in HIV-1 gene silencing and in promoting HIV-1 latency[46, 47].

230 Altogether, these observations demonstrate that HIV-1 latency in resting memory T-cells is
231 regulated predominantly at the level of transcription by multiple but complementary mechanisms
232 acting in concert. Transformation to the memory phenotype is concomitant with rapid loss of
233 transcription factors, such as NF- κ B, NFAT and P-TEFb, from the nucleus, which results in the
234 reduced rate of HIV-1 transcription and establishment of a heterochromatin structure at HIV-1 LTR.
235 Heterochromatin structures strengthen the inertness of the LTR promoter and further impair HIV
236 transcription from the LTR promoter. Restricted HIV-1 transcription is followed by the steady decline
237 in Tat protein levels, and once Tat levels fall below the functional-threshold, HIV-1 attains a latent
238 state [28].

239 Furthermore, using clone 2D10 cells, we have validated that stochastic fluctuations in LTR
240 activity occur within populations of latently-infected cells was mainly due to the fluctuations in basal
241 NF- κ B and viral Tat levels [28, 48, 49]. Once HIV-1 establishes latency, transcription interferences
242 play a crucial role in preventing stochastic reactivation of latent proviruses, and thus facilitate the
243 maintenance of HIV latency. Together, it can be clearly envisaged that HIV-1 latency is
244 predominantly maintained at the level of transcription by multiple mechanisms and cellular factors
245 differentially acting in concert.

246 3.5. Homeostatic maintenance of T-cell quiescence

247 The lack of certain transcription factors in the quiescent T cells not only inhibits the HIV-1
248 transcription, but also results in the overall reduction of cellular transcription. The quiescent cells,
249 such as resting CD4+ memory T-cells, primarily the central memory T cells (T_{CM}) and transitional
250 memory T cells (T_{TM}), are the most prominent cellular reservoirs of latent proviruses [50-52]. These
251 memory T-cells are part of a mixed group of long-lived cells that express high levels of CD45RO in
252 humans and CD44 in mice[53-54]. The survival of CD4+ memory T-cells for extended periods of time
253 is well-established, but the mechanism responsible for their maintenance is not completely
254 understood [55]. Both in humans and mice, among others, memory cells usually include a mix of
255 central, transitional, and effector memory T-cells. Central memory T-cells, analogous to the naïve T-
256 cells, express lymph node (LN)-homing receptors CD62L (L-selectin) and CCR7; consequently,
257 similar to the naïve T-cells, they circulate through the LN and spleen. Meanwhile, effector memory
258 T-cells downregulate CD62L and express diverse surface receptors that allow the cells to pass
259 through non-lymphoid tissues [54]. The number of memory T-cells increases with age and
260 presumably reflects the way that naïve T-cells respond to self-antigens and environmental diversity.

261 In contrast to naïve CD4+ T-cells, resting memory CD4+ T-cells divide intermittently,
262 approximately once every 2 to 3 weeks. The gradual increase in the number of memory T-cells
263 indicates that the amount of cell division is offset by an equivalent amount of cell death [56]. Notably,
264 resting memory CD4+ T cells do not come in contact with self-p/MHC molecules, but do depend on
265 their contact with interleukin-7 (IL-7) and interleukin-15 (IL-15) in order to proliferate
266 homeostatically, and survive [57-59]. These findings were also validated using mouse models, in
267 which most of the memory T-cells data derive from studies on naturally-occurring MP cells. These
268 cells seem to be nearly indistinguishable from the resting memory T-cells that occur in response to

269 defined antigens. Central memory CD4⁺ T-cells, which are the major latent reservoir, recirculate
270 between blood and the secondary lymphoid organs, entering LN by expressing high levels of CCR7
271 and CD62L [54]. T_{CM} also express high surface levels of CD127 and CD122, and expression of these
272 receptors enables them to proliferate homeostatically and survive in the presence of normal levels of
273 IL-7 and IL-15 [57].

274 The central memory T cells (T_{CM}) differentiate to either effector memory (TEM) following
275 antigen-mediated stimulation or to transitional memory T cells (TTM) upon homeostatic proliferation
276 [13]. These events lead to either partial or full reactivation of TCM, and so to an integrated latent HIV-
277 1 provirus. However, in the continuous presence of anti-HIV drugs, reactivated latent HIV are unable
278 to infect other cells, and HIV-1 levels return to the controlled range. Notably, cytopathic effects of the
279 virus and host immune responses cause a large number of the differentiated cells to die, but some of
280 these differentiated cells return to the T_{CM} phenotype with a few new mutations, due to restricted
281 viral replication. These observations indicate that continual replenishment occurs in the latent
282 reservoir in resting memory CD4⁺ T cells, acquiring updated viral sequences from time to time [60,
283 61]. A similar phenomenon was found to occur in the SIV studies, which showed that in resting CD4⁺
284 T cells, the viral replication rate defines the SIV DNA sequence turnover [62]. Therefore, any strategy
285 that selectively counters the homeostatic proliferation of latently infected resting memory CD4⁺ T-
286 cells may be therapeutically relevant for controlling latent reservoir and curing HIV.

287 4. Current approaches to eliminate latent HIV reservoirs

288 The findings from the current investigations, focusing on HIV-1 persistence have open up new
289 avenues for a wide variety of potential therapeutic strategies [63-68]. One of the approaches that has
290 undergone several clinical trials is known as 'shock and kill.' In this strategy, latency reversing agents
291 (LRAs) or transactivator(s) are administered to patients on HAART to reactivate latent HIV-1 by
292 stimulating the transcription of latent/silent provirus and subsequent prevention of new infections
293 through HAART [69]. This strategy aims to limit exposure to LRAs until the latent reservoir falls to
294 an extent that would allow HAART discontinuation without the risk of viral rebound. The most
295 common LRAs include histone deacetylase (HDAC) inhibitors/antagonists and protein kinase-C
296 (PKC) activators/agonists. The 'shock and kill' strategy entails activation of HIV-1 expression to allow
297 latently- infected resting memory CD4⁺ T-cells to die from viral cytopathic effects and/or host
298 cytolytic immune effectors, while controlling new infections via HAART [69].

299 Arguably, this strategy seems promising for a scalable solution to HIV-1 eradication. There
300 have been over 15 completed clinical trials testing LRAs from distinct mechanistic classes [70, 71].
301 However, only modest perturbation to the reservoir was observed to-date. On the other hand, ex vivo
302 experiments using aviremic patient cells have demonstrated that viral reactivation, even when using
303 potent regimens, occurs for only a minority of latently infected cells after a single administration of
304 the LRAs [72-74]. The reactivation of latent HIV-1 by LRAs was primarily limited to the circulating
305 latently infected T-cells. Unfortunately, a majority of latently-infected CD4⁺ T-cells continue to hide
306 in certain anatomical sites, where LRA access was highly limited. The key to utilize LRAs as part of
307 a curative method for HIV-1 is that LRAs should not only be able to reduce the size of the latent
308 reservoir, but they should also be able to restrict the re-emergence of the virus upon HAART
309 discontinuation. To eliminate activated virus-expressing CD4⁺ T-cells, cytotoxic T-lymphocytes
310 (CTLs) may also need to be boosted. In acute infection, CTLs are important for controlling HIV-1
311 replication, but in chronic infection, the cytolytic capacity of CD8⁺ T-cells is impaired and not restored
312 by HAART. HIV acquires and maintains resistance mutations to CTLs in long-lived memory CD4⁺
313 T-cells due to the pressure from the CTLs and the evolution of the virus sequence during replication
314 [75]. Considering the limitations of latency-reversing interventions observed thus far, additional
315 strategies to deplete latently-infected resting memory CD4⁺ T-cells are needed.

316 5. Cytokines modulation of HIV infection

317 Infection with HIV is known to result in dysregulation of the cytokines, which play an important
318 role in modulating the homeostasis of the immune system. Infection with HIV induces the production

319 of pro-inflammatory cytokines, including interleukins, interferons and chemokines. Some of these
 320 cytokines regulate homeostasis of the immune system as well as HIV replication. HIV infection also
 321 tends to increase the production of T helper type-2 (Th2) cytokines (Interleukin-4 (IL-4), Interleukin-
 322 10 (IL-10)), proinflammatory cytokines (Interleukin-1 (IL-1), Interleukin-6 (IL-6), Interleukin-18 (IL-18)),
 323 and TNF- α ; and it tends to decrease the level of T helper type-1 (Th1) cytokines, including
 324 Interleukin-2 (IL-2) and anti-viral interferon-gamma (IFN- α) [67]. Osuji et.al [76] have shown that,
 325 compared with uninfected individuals, HAART-naïve HIV-infected individuals have elevated levels
 326 of proinflammatory cytokines (TNF- α , IL-6), anti-inflammatory cytokines (IL-4, IL-10), and
 327 transforming growth factor-beta (TGF- β). The level of TNF- α and TGF- β remained significantly
 328 elevated even 12 months after the initiation of HAART.

329 Furthermore, it has also been shown that the cytokines TNF- α , TNF- β , IL-1 and IL-6 stimulate
 330 HIV-1 replication in both T-cells and monocyte-derived macrophages (MDM). The cytokines IL-2, IL-
 331 7 and IL-15 are also reported to upregulate HIV-1 replication in T-cells (**Table 1**). Whereas the
 332 cytokines IFN- α , IFN- β , and IL-16 have been shown to repress HIV-1 replication in T-cells and MDM,
 333 while IL-10 and IL-13 inhibit HIV-1 replication in MDM [67]. The beta-chemokines, such as
 334 macrophage inflammatory protein (MIP)-1 alpha, MIP-1beta and RANTES, are inhibitors of
 335 macrophage-trophic strains of HIV-1 whereas the alpha-chemokines, such as stromal-derived factor-
 336 1, inhibit infection by T-trophic strains of HIV-1 [67]. As the disease progresses, the cytokines TNF-
 337 α , IL-2 and IL-6 regulate and replenish the latent HIV reservoir [69]. Immunosuppressive and pro-
 338 inflammatory cytokines favor the HIV latency by inhibiting the viral replication [70].

339 **Table 1.** List of Cytokines shown to modulate HIV replication

Impact on HIV replication	Cytokines
Enhance HIV replication in most of the cells	IL-1, IL-2, IL-4, IL-6, IL-7, IL-15, IL-18, TNF- α , TNF- β , M-CSF
Repress HIV replication in most of the cells	IL-10, IL-13, IL-16, IFN- α , IFN- β , SDF-1, MIP-1 α , MIP-1 β , RANTES
Enhance/reduce HIV replication depending on type of cells	IL-4, IL-12, IFN- γ , GM-CSF,

340 IL: interleukin; SDF: stromal derived factors; MIP: macrophage inflammatory protein; TNF: tumor necrosis
 341 factors; INF: interferons; GM-CSF: Granulocyte-macrophage colony-stimulating factor

342 **6. The role of TGF- β in the modulation of latent HIV provirus pools in resting memory CD4+ T-** 343 **cells**

344 HIV-1 remains dormant in CD4+ T lymphocytes and forms a reservoir, which is controlled but
 345 not eliminated by HAART. Even though, the success of HAART in HIV/AIDS therapeutics has
 346 extended the life span of many infected individuals, there is still room for improvement. The common
 347 HAART regimen consists of Nucleoside Reverse Transcriptase Inhibitors (NRTIs), Non-Nucleoside
 348 Reverse Transcriptase Inhibitors (NNRTIs) to inhibit the activity of reverse transcriptase (RT), and
 349 protease inhibitors (PIs) to restrict virus replication and proliferations. New generation of HAART
 350 regimens also contain viral entry and integrase inhibitors, which inhibit the cellular entry and
 351 integration of the HIV provirus into the host cell genome. The primary focus of the current anti-HIV
 352 therapy is to inhibit HIV replication and transmission, rather than HIV-1 eradication or cure.
 353 Therefore, under the current scenario, the infected individuals need to rely on HAART for the rest of
 354 their life. Hence, to restrain HIV-1, the infected individuals must take HAART regularly. However,
 355 continuous use of HAART drugs inflicts mild to serious side effects both for the short and long term.
 356 Some side effects appear for the first couple of weeks while others are continuous for months or even
 357 years after starting HAART. Individuals are required to continue HAART despite any side effect
 358 because discontinuation will allow HIV-1 to multiply and damage to the immune system.

359 On other hand, enhancement of the immune system (immunotherapy) in infected individuals is
 360 one of the new approaches that is being tested in HIV-1 infected individuals. This approaches aim to
 361 enhance the innate powers of the immune system to fight back against the infections and other

362 diseases. Gamma-Chain (γ C) receptor cytokines, including Interleukin-2 (IL-2) [77, 78], Interleukin-7
363 (IL-7)[79-82], Interleukin-15 (IL-15) [83] and Interferon (IFN) [84], have all been tested in
364 immunotherapeutic clinical trials to treat and control HIV infection.

365 Activated T-cells produce IL-2, which in-turn stimulate the T-cells itself and natural killer (NK)
366 cells to proliferate, differentiate and release other cytokines. Activated T-cells also stimulate B-cells
367 to release antibodies which protect the host against invading microorganisms [81]. IL-2 has been
368 extensively studied in phase I, phase II and phase III studies. Although the use of IL-2 demonstrated
369 conflicting results in clinical trials [85], it still restored immune functions in some HIV-positive
370 patients [77, 78]. Studies have shown that intravenous or subcutaneous use of IL-2 can induce
371 significant increases in CD4+ T-cells in HIV patients depending on the dose and when preferentially
372 administered along with HAART [77, 78, 86]. However, finding from the ESPRIT Study Group and
373 SILCAAT Scientific Committee indicated that supplementation of HAART with IL-2 offers no clinical
374 benefit as compared with antiretroviral therapy alone.

375 The functions of (interleukin-7) IL-7 have also generated interest in its utilization to boost de
376 novo T-cells formation following T-cells deficiency caused by HIV infection. IL-7 is known to be
377 involved in mediating the survival of early thymocytes [87, 88] and in promoting the survival of both
378 naïve and memory T-cells [59]. Results of the INSPIRE 2 clinical trials using recombinant human IL-
379 7 (r-hIL-7) showed that r-hIL-7 was well- tolerated and resulted in sustained restoration of CD4+ T-
380 cells in the majority of HIV patients undergoing HAART [79]. Similar results were obtained in other
381 studies utilizing r-hIL-7 [80-82]. To the contrary, IL-7 use was reported to lead to rapid proliferation
382 of the latent HIV reservoirs in resting memory CD4+ T-cells [89]. Nevertheless, Vandergeeten C et al.
383 reported in 2013 that IL-7 promotes mechanisms of HIV-1 persistence during HAART by enhancing
384 residual levels of viral production and inducing proliferation of latently infected cells [89]. Study
385 further indicated that IL-7 does not represent a suitable candidate therapeutic strategy for HIV
386 eradication.

387 Researchers have also explored the potential of IL-15, which is a pleiotropic cytokine with
388 diverse biological functions and which plays a crucial role in host defense from viral and non-viral
389 intracellular pathogens. Along with other γ C cytokines, such as IL-2 and IL-7, IL-15 facilitates the
390 maintenance of naïve and memory T-cell populations [59, 90]. Most recently, ex-vivo experiments
391 using IL-15 with samples obtained from HIV patients on HAART have demonstrated that IL-15
392 treatment improved NK-cell functions, but more importantly, IL-15-treated NK-cells were able to
393 clear latently HIV-1-infected cells following exposure to Vorinostat, an LRA [83].

394 Interferons (IFN), on the other hand, are innate antiviral proteins known to restrict viral
395 replication even before any antibodies are produced. Interferons are reported to mediate potent
396 antiviral effects in resting memory CD4+ T-cells and other cell types through APOBEC3G [91]. For
397 example, IFN- α is quite extensively used in the treatment of hepatitis B (HBV) and C (HCV) virus
398 infections. Interferon- α is a product of a multigene family encoding 12 IFN- α subtypes [92] all of
399 which bind to IFN- α / β receptors but with varied biological outcomes. With regards to IFN and HIV-
400 1 immunotherapy, recent studies have demonstrated that all of the 12 IFN- α subtypes exhibit unique
401 host responses and display distinct efficacies in the control of HIV-1 infections [84]. For instance, a
402 recent in vivo study utilizing humanized mouse models has shown that IFN- α 14 subtype but not
403 IFN- α 2 potently inhibits HIV-1 replication and suppressed HIV-1 load [84].

404 In consideration of the foregoing observations regarding the use of cytokines as
405 immunotherapeutic agents in the treatment and control of HIV-1 infections, we hereby commented
406 on a novel approach of utilizing Transforming Growth Factor- beta (TGF- β) to eradicate latent HIV-
407 provirus pools in HIV patients receiving HAART. Transforming growth factor-beta is a pleiotropic
408 cytokine that functions in numerous physiological and pathological processes. The TGF- β —
409 including TGF- β 1, TGF- β 2 and TGF- β 3 isoforms—is a 25kDa homodimeric cytokine. Most cell types
410 express TGF- β gene, however, particular isoforms of TGF- β appear to be expressed based on tissue
411 specificity. The TGF- β 1 is the predominant isoform expressed by most immune cells. Members of the
412 TGF- β family regulate multiple cellular functions, such as, proliferation, differentiation, and

413 migration, with a range of other diverse biological activity [93, 94], including inflammation, wound
414 repair, and immune homeostasis and tolerance [95].

415 The activity of TGF- β is tightly regulated both positively and negatively, from the time of
416 secretion to activation of the target genes. Active TGF- β is cleaved from a precursor protein, latent
417 TGF- β binding protein (LTBP), which is stored in the extracellular matrix [96]. The activated TGF- β
418 signals through the TGF- β receptor complexes and the serine/threonine kinases, namely TbetaRI and
419 TbetaRII. Receptor activation results in the phosphorylation of several downstream targets, including
420 smads [96, 97]. Phosphorylated smads subsequently regulate (both positively and negatively) the
421 expression of TGF- β target genes [97].

422 Research which showed that TGF- β supports wound repair by augmenting collagen synthesis
423 was the first to identify its defined, non-transforming role [98-101]. Later research showed that
424 activated lymphocytes also produce TGF- β , and that TGF- β potently suppresses lymphocyte
425 proliferation [102-105]. TGF- β regulates HIV replication directly by acting on infected cells; however,
426 TGF- β can either stimulate or inhibit HIV replication, unlike monophasic stimulation of HIV
427 replication by cytokines (i.e., IL-1, TNF- α , GM-CSF, LIF) or monophasic inhibition in response to
428 interferons. The doses and the cell system, along with the presence or absence of other cytokines,
429 affects the quality and eventual impact of the TGF- β . For instance, Czubala et al. [106] reported that
430 TGF- β induces the sterile alpha motif (SAM) and histidine aspartic (HD) domain protein-1
431 (SAMHD1)-independent post-entry restriction to HIV-1 infection in monocyte-derived langerhans'
432 cells and epithelial langerhans' cells. On the contrary, Theron et al. [107] recently observed that
433 elevated circulating TGF- β contributes to immunosuppression in both untreated and treated HIV-1
434 patients and progression to Acquired Immunodeficiency Syndrome (AIDS) in untreated HIV-1
435 patients. They further observed that TGF- β may be linked to the pathogenesis of non-AIDS-defining
436 cardiovascular, hepatic, renal and pulmonary disorders. Despite of these observation, we propose
437 that TGF- β could possibly be used transiently in HIV patients undergoing HAART in order to deplete
438 the latent HIV reservoirs in resting memory CD4+ T-cells so as to allow discontinuation of HAART.

439 As discussed in the foregoing sections, one of the mechanisms to maintain HIV latency is the
440 homeostatic maintenance of the resting memory phenotype. It so happens that these resting CD4+ T-
441 cells harbor latently-infected HIV proviruses and these T-cells subset are capable of intermittent
442 proliferation in order to replenish the latent HIV reservoirs [108]. In this regard, this review discussed
443 a novel approach to disrupt and deplete the latent HIV reservoirs in resting memory CD4+ T-cells
444 utilizing only transient use of TGF- β , which is shown to inhibit homeostatic proliferation of resting
445 memory CD4+ T-cells. To this effect, several studies have shown that TGF- β potently inhibits
446 homeostatic proliferation of resting memory CD4+ T-cells [109] (Figure 1B). Tiemessen et al. showed
447 how TGF- β affects antigen-specific proliferation and the CD4+ T-cell activation status and cytokine
448 production. They also showed that TGF- β adequately and potently suppresses antigen-specific
449 resting memory CD4+ T-cell proliferation by cell-cycle inhibition rather than apoptosis induction.
450 Moreover, on CD4+ T-cells, TGF- β increased CD69 expression and decreased CD25 expression,
451 indicating that it can modulate activation of already-differentiated CD4+T-cells. Accordingly, Das
452 and Levine [110] reported that TGF- β inhibits IL-2 production and subsequently blocks entry of
453 memory CD4+ T-cells in to the cell cycle even in the presence of sustained T-cell receptor activation.
454 Consistent with the observations of Das and Levine, Mckarns and Schwartz [111] demonstrated that
455 TGF- β -mediated impairment of memory CD4+ T-cells entry and subsequent progression through the
456 cell cycle. In a separate set of experiments, Mckarns et al. [112] further demonstrated that this
457 inhibition was mediated via Smad3. Similarly, of particular importance, most recently, Nguyen and
458 Sieg [113] vividly demonstrated that TGF- β inhibits IL-7-induced proliferation of resting memory
459 CD4+ T-cells but not naïve T-cells. Interestingly, resting memory CD4+ T-cells are the same T-cells
460 subset that harbors latent HIV proviruses and their homeostatic proliferation is induced by IL-7 and
461 IL-15. These observations strongly suggest that utilization of TGF- β in combination with HAART
462 could specifically disrupt IL-7-mediated homeostatic proliferation and replenishment of resting
463 memory CD4+ T-cells so as to deplete the latent HIV reservoirs from peripheral circulation. It is worth
464 mentioning that elevation of circulating TGF- β levels certainly blocks the proliferation of not only

465 TCR-activated but also IL-7-induced resting memory CD4+ T cells. Together, these findings suggest
466 the potential transient therapeutic use of TGF- β in controlling the reservoir size and combating HIV-
467 1 proviral latency [109, 110, 113].

468 7. Conclusions

469 HIV-1 establishes latent infection in CD4+ memory T cells and persists indefinitely even in
470 individuals who are on HAART. The stability of latently-infected resting memory CD4+ T-cells is not,
471 for the most part, due to new de novo infection events during HAART, but rather to the ability of
472 resting memory CD4+ T-cells to proliferate and promote immunologic memory. Even though the
473 current treatment regimens for HIV have greatly improved the life expectancy of HIV/AIDS patients,
474 the current HAART therapies, which inhibits the replicating virus are unable to eliminate these latent
475 infected viruses. The quality of life in HIV/AIDS patients on HAART is compromised since they need
476 to adhere to it for rest of their lives to prevent the virus from rebounding. Long-term HAART causes
477 drug toxicities, numerous side effects, and complications. Therefore, treatment options that target
478 both the replicating and latently infected virus are the need of the hour. We believe that the
479 application of adjuvant therapy in combination with HAART could prove more effective in
480 eradicating not only the replicating virus but also latently infected virus. This approach may disrupt
481 and deplete the latent HIV reservoirs in resting memory CD4+ T-cells and thus potentially remove
482 the need to rely on HAART for lifelong. The information generated from this review will provide
483 vital information on HIV latency and the strategy of adjuvant immunotherapies for depleting
484 latently-infected resting memory CD4+ T-cells in HIV patients. The success of these strategies will
485 depend upon a greater understanding of the causative factors responsible for immune activation and
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